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#### **Title page**

# Incidence, Characteristics, and Outcome of COVID-19 in Patient on Liver Transplant Program: A Retrospective Study in North of Iran

Running title: COVID-19 in Liver transplant patients

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# 1

# Incidence, Characteristics, and Outcome of COVID-19 in Patient on Liver Transplant Program: A

2

#### **Retrospective Study in North of Iran**

# **3 Running title:** COVID-19 in Liver transplant patients

4 Abstract:

5 The risk of severe coronavirus disease-2019 (COVID-19) disease seems to be higher in individuals with solid organ 6 transplantation. Therefore, the purpose of the present research is investigating the incidence of COVID-19 and 7 laboratory data and epidemiologic factors in liver transplant recipients and the patients on the waiting list for liver 8 transplantation. In this study, we evaluated the records of patients on the waiting list for liver transplantation and 9 recipients of liver transplant. Demographic data, underlying disease, history of drug use and participants' outcomes 10 were collected. The diagnosis of SARS-CoV-2 infection for all patients was confirmed using a nasopharyngeal swab 11 specimen with real-time RT-PCR .During the study period, 172 patients were enrolled, among whom 85 patients 12 (49.4%) were on the waiting list for liver transplantation and 87 patients (50.6%) were recipients of liver transplant . 13 Out of them, 10 (5.8%) had a positive result for SARS-CoV-2. Of these patients, 7.05% (6/85) and 4.6% (4/87) of 14 patients on the waiting list and recipients of liver transplant were positive for SARS-CoV-2, respectively. Patients on 15 the waiting list with COVID-19 infection had higher median of albumin, ALT, AST, TBIL, DBIL, HDL and LDL 16 value. In summary, the incidence of COVID-19 in liver transplant patients was slightly high. The existence of 17 underlying liver diseases should be well known as one of the poor predictive factors for worse outcomes in patients 18 with COVID-19. So, comparative studies are recommended to identify risk factors for COVID-19 in patients with 19 liver injury.

20 Key words: COVID-19, liver transplant recipients, SARS-CoV-2, Iran

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26 Introduction: The severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the factor for development of 27 coronavirus disease-2019 (COVID-19), initially observed in Wuhan, China in 2019. It has had a quick spread in the 28 world. In a similar way to this family's previous members, COVID-19 generally results in respiratory tract infection 29 that might be severe, asymptomatic, or mild (1-4). The main symptoms of this disease include fatigue, fever, dry 30 cough, and sometimes nausea, shortness of breath, vomiting, and diarrhea. As preliminary data indicates, the severity 31 of disease has some predictors, such as hypertension, old age, diabetes mellitus, chronic liver and kidney disease, and 32 coronary artery disease (5-8). The severity of the disease is mild in most patients, still, the risk of severe COVID-19 33 disease seems to be higher in individuals with solid organ transplantation, like liver transplants, due to receiving 34 lifetime immunosuppressive therapy and their chronic immunosuppressed state (6, 9).

35 Since the angiotensin-converting enzyme-2 (ACE2) receptor is present in the biliary epithelial and liver cells, the liver 36 has been identified as a potential target for COVID-19 infection. Liver enzymes' elevation is a presentation of this 37 disease that is mainly observed in those admitted in the hospital with an incidence range of 14-53.1% (10).

38 The range of case-fatality rate from COVID-19 is extensive as 1-7.2%, despite the rate appearing much higher for 39 recipients of solid organ transplants (9). Nevertheless, the COVID-19 outcomes and epidemiology among recipient's 40 liver transplanted are confined to case series and case reports (11). There are few early descriptive case series and case 41 reports of recipients solid organ transplantation (SOT) suffering from COVID-19, suggesting poor outcomes. 42 However, it is not known that whether it is different from COVID-19 in the non-transplant population (11-14). Various 43 interventions were conducted in recipients of liver with COVID-19, such as withdrawal and reduction of 44 immunosuppression, treating with empirical anti-viral agents like lopinavir/ritonavir, chloroquine, umifenovir, and 45 remdesivir, and high-dose glucocorticoids (15, 16). Thus, more all-embracing research is required for defining 46 potential risk factors in patients with underlying liver diseases for the development of severe COVID-19.

47 The purpose of the present research is investigating the incidence of COVID-19 and laboratory data and epidemiologic 48 factors in liver transplant recipients and the patients on the waiting list for liver transplantation admitted to referral 49 transplant center (Razi University Hospital) located in the North of Iran, Rasht, Iran.

50 Methods

51 This study was approved by the research ethics committee of Guilan University of Medical Sciences, Rasht, Iran with 52 number code IR.GUMS.REC.1399.135. Also, the patients' informed consent to be allowed to use their medical 53 information was obtained.

54 In this retrospective study from June to September 2020, we evaluated the records of 85 patients on the waiting 55 list for liver transplantation and 87 recipients of liver transplant who were admitted to referral transplant center (Razi 56 University Hospital) located in the North of Iran, Rasht, Iran.

57 Of all patients, demographic data including age, sex, past medical history and underlying disease (e.g., primary 58 sclerosing cholangitis (PSC), diabetes, cryptogenic, Non-alcoholic steatohepatitis (NASH) and Hepatitis B (HBV)), 59 laboratory parameters including routine biochemical, and liver function tests data (alanine aminotransferase (ALT), 60 aspartate aminotransferase (AST), and low-density lipoproteins (LDL), High-density lipoprotein (HDL) Vitamin D, 61 direct bilirubin (DBIL), total bilirubin (TBIL) and albumin were investigated. In addition, history of drug use (use of 62 antiviral drugs and immunosuppressive medications) and participants' outcomes (death or recovery) were collected 63 from the electronic patient files. The diagnosis of any liver diseases was confirmed via patients' previous documents. 64 Also, the records of subjects with considerable lacking of data and lost to follow-up were excluded.

The diagnosis of SARS-CoV-2 infection was confirmed using a nasopharyngeal swab specimen with real-time RTPCR or in subjects of negative RT-PCR, a chest computed tomography scan (CT scan) with a high level of suspicion.
Demographic data and variable as categorical variables were described as frequencies and percentages. The mean and
standard deviation (SD) were carried out to describe continuous variables and qualitative data. All analyses were
conducted using SPSS version 18.

70 Results

During the study period, 172 patients were enrolled, among whom 85 patients (49.4%) were on the waiting list for liver transplantation and 87 patients (50.6%) were recipients of liver transplant. The mean age of patients was  $47 \pm 15.2$ . The male subjects were 49 (57.6%) on the waiting list for liver transplantation and 59 (67.8%) in recipients of liver transplant groups. Moreover, 100% and 66.7% of patients on the waiting list and recipients of liver transplant were over 45 years old, respectively.

Out of the 172 patients who were enrolled in current study, 10 (5.8%) had a positive result for SARS-CoV-2. Of these patients, 7.05% (6/85) and 4.6% (4/87) of patients on the waiting list and recipients of liver transplant were positive for SARS-CoV-2, respectively. On the other hand, 66.7% (4/6) and 33.3% (2/6) of recipients of liver transplant with COVID-19 were over and under 45 years, respectively. All patients on the waiting list with COVD-19 were under 45 years.

Additionally, PSC was the most common underlying disease among 28.4% and 42% of the waiting list and recipients of liver transplant, respectively. Moreover, HBV, cryptogenic, hepatitis and PSC were also observed as underlying disease in the waiting list and recipients of liver transplant. At present, 80% (8/10) of the COVID-19 patients have recovered discharged from hospital and two patients (20%) died from respiratory failure: one patient from the waiting list and one from recipients of liver transplant. The demographics and details of underlying disease are shown in Table

**86** 1.

- 87 According to results of laboratory values, patients on the waiting list with COVID-19 infection had higher median of 88 albumin (4.03 g/L), ALT (65.5 U/L), AST (51.2U/L), TBIL (1.6 mmol/L), DBIL (0.61 mmol/L), HDL (66.5) and LDL 89 (95) value compared to recipients of liver transplant with COVID-19 infection. While, albumin (4.2 g/L), HDL (48.7) 90 and LDL (87.5) were relatively higher in recipients of liver transplant patients without COVID-19. Moreover, the 91 mean dose of vitamin D in patients on the waiting list without COVID-19 and transplant patients with COVID-19 92 were  $35.4\pm20.2$  and  $47.1\pm6.2$ , respectively and were partially higher in compared to other group .Clinical and 93 laboratory finding of recipients and patients on the waiting list for liver transplantation without and with COVID-19 94 are presented in Table 2.
- As shown in Table 3, history of drug use in liver transplant patients infected with COVID-19 was more than patients
  on the waiting list. The details of medication history among transplanted and patients on the waiting list for liver
  transplantation without and with COVID-19 are shown in Table 3.

# 98 Discussion

- 99 Since ACE2 enzyme has a proven role in the pathogenicity of COVID-19 and due to the plentiful production of this100 enzyme in hepatic and biliary epithelial cells, the liver is considered as a target organ for this virus (17-19).
- 101 The Centers for Disease Control and Prevention (CDC) has stated that patients older than 65 years, and those with 102 liver diseases are at higher risk of fatal disease (20), therefore, regarding to insufficient data on chronic liver diseases 103 and patients with liver transplantation during the COVID-19 pandemic in our region, the aim of the present study was 104 a descriptive study of the incidence and mortality rate of COVID-19 in liver transplant recipients and the patients on 105 the waiting list for liver transplantation.
- The results of this study showed that 5.8% of patients developed COVID-19 disease, and their mortality was of 20%.
  Regarding specifically the mortality, it remains elevated (20%) in patients with COVID-19 in our study but

comparable with those reported in the United states and Spain population, supporting the idea that liver transplantationrecipients should be considered as population at risk (21-23).

110 The incidence of COVID-19 in patients with liver transplantation especially in men over the age of 45 years was 111 higher. Moghadam et al. also reported that since patients candidate for liver transplantation experience more stress 112 before transplantation more attention should be paid (24). In this regard, Al Ghamdi et al., reported that adverse 113 outcomes in patients with liver transplantation and MERS-CoV virus were more common (25). Other results of the 114 present study showed that liver enzyme markers and bilirubin levels in patients on the waiting list for liver 115 transplantation with COVID-19 were higher. Recent studies have reported that liver injury is mainly associated with 116 abnormal ALT/AST levels and a relatively increase in bilirubin levels as well as a decrease in albumin levels in severe 117 COVID-19 cases (26, 27).

In addition, in a meta-analysis study, the relationship between liver damage and the severity of COVID-19 infection were studied and the results showed that high serum levels of AST, ALT, total bilirubin and low serum albumin levels were significantly associated with increased severity of COVID-19 (28). However, based on present study, ALT/AST and albumin value were high in liver transplant patients with COVID-19. In addition, patients on the waiting list for liver transplantation with COVID-19 had high level of ALT, HDL and LDL ratio than patients without COVID-19.

According to studies, liver damage observed in patients with COVID-19 may be due to Lopinavir/ritonavi, which is
used as an antiviral drug to treat SARS-CoV-2 infection (29).

126 Given that liver damage can be multifactorial and heterogeneous, there is ambiguity as to whether liver damage is 127 related to underlying liver disease or due to the use of drugs prescribed to treat COVID-19.

PSC was the most common underlying disease in both groups of patients (30). However, HBV, cryptogenic and hepatitis were also observed as underlying disease in the waiting list and recipients of liver transplant. Due to transplant patients have more comorbidities than the general population, the expected severity of COVID-19 would be increased (14, 31). According to the results of the present study, in general, the history of drug use was higher in patients with liver transplantation. In patients with a history of drug use, the incidence of COVID-19 was higher in liver transplant patients. The frequency of proton pump inhibitors and calcium D in liver transplant patients with

134 COVID-19 was higher. Moreover, in patients with COVID-19, the frequency of vitamin D intake was similar and 135 very low in both groups (32). Algahtani et al., (2020) investigated the association of liver damage with COVID-19. 136 In their study, patients with coronavirus (COVID-19) disease experienced varying degrees of liver abnormalities. They 137 suggested treatment with acetaminophen and the avoidance of nonsteroidal anti-inflammatory drugs (NSAIDs) in 138 cirrhosis, but caution should be exercised when using antiviral agents in patients with liver problems and drug 139 interactions after liver transplantation (27). Niknam et al. investigated two patients with COVID-19 including a 60-140 year-old female patient with diabetes and a 46-year-old man who had previously had a liver transplant. Both patients 141 recovered after starting hydroxychloroquine and continuing to use all immunosuppressive agents except 142 mycophenolate based on the decision of the medical team with different specialties (33). However, the existence of 143 underlying liver diseases should be well known as one of the poor predictive factors for worse outcomes in patients 144 with COVID-19. So, comparative studies are recommended to identify risk factors for COVID-19 in patients with 145 liver injury (34). However, due to relatively small sample size of transplanted cases with COVID-19, our results could 146 not be completely represented the effect of immunosuppression on the course of the disease, so the analysis must be 147 interpreted caution.

In conclusion, the results of the present study showed that the incidence of COVID-19 in liver transplant patients was slightly higher. The existence of underlying liver diseases should be well known as one of the poor predictive factors for worse outcomes in patients with COVID-19. So, comparative studies are recommended to identify risk factors for COVID-19 in patients with liver injury.

## 152 List of abbreviations

SARS-CoV-2: severe acute respiratory syndrome coronavirus 2; ACE2: angiotensin-converting enzyme-2; PSC:
primary sclerosing cholangitis; NASH: Non-alcoholic steatohepatitis; HBV: Hepatitis B; AST: aspartate
aminotransferase; HDL: High-density lipoprotein; DBIL: direct bilirubin; TBIL: total bilirubin; CTscan: computed
tomography scan; SD: standard deviation; CDC: Centers for Disease Control; NSAIDs: nonsteroidal antiinflammatory drugs

158 Ethics approval and consent to participate

- 159 This study was approved by the research ethics committee of Guilan University of Medical Sciences, Rasht, Iran with
- 160 number code IR.GUMS.REC.1399.135. written informed consent to participate in the study was obtained from
- 161 participants.

# 162 **Consent for publication**

163 Not applicable.

# 164 Availability of data and materials

- 165 The datasets used and/or analyzed during the current study are available from the corresponding author on
- 166 reasonable request

# 167 Competing interests

- 168 The authors report no conflicts of interest in this work
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- 170 Self- funding

# 171 Authors' contributions

- 172 Conceived and designed the experiments: PR, MSD and MTA. Performed the experiments: MNT, KM and AS.
- 173 Analyzed the data: MNT and KM. Contributed reagents/materials /analysis tools: RR and MH. Contributed to the
- 174 writing of the manuscript: MTA PR and MSD. All authors read and approved the final manuscript.

# 175 Competing interests

176 The authors report no conflicts of interest in this work

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178 Not applicable

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- 284

**Table 1.** Baseline characteristics of study population.

Variable	Transplanted	Before undergoing	Transplanted	Before	
	( <b>N:87</b> )	a liver transplant	with COVID-19	undergoing a	
		(N: 85)	(N:6)	liver transplant	
				with COVID-19	
				(N: 4)	
Age	58.5±12.9	62.2±13.95	-	-	
BMI	24.1±4.4	25.8±4.5	X		
Sex			$\lambda^{0}$		
• Male	59(67.8)	49(57.6)	5 (83.3)	3 (75)	
• Female	28(32.6)	36(42.9)	1 (16.7)	1 (25)	
Clinical Outcome					
Death	1	1	1	1	
Discharge	86	85	5	4	
Underlying disease					
PSC	34(42)	19(28.4)	-	1 (25)	
Cryptogenic	16(19.8)	9(13.4)	1 (16.7)	1 (25)	
Hepatitis	9(11.1)	14(20.9)	1 (16.7)	1 (25)	
Nash	3 (3.7)	13(19.4)			
HBV	8(9.9)	3(4.5)	2 (33.3)		
Other	12(13.6)	10(13.4)	2 (33.3)	1 (25)	

BMI: body mass index; PSC: primary sclerosing cholangitis; Nash: Non-alcoholic steatohepatitis; HBV: Hepatitis B

- **Table 2:** Clinical, laboratory of recipients and patients on the waiting list for liver transplantation without and with
- 292 COVID-19

Variable	Transplanted	Before	Transplanted with	Before undergoing a		
	(N:87)	undergoing a	COVID-19	liver transplant		
		liver transplant	(N:6)	with COVID-19		
		(N: 85)		(N: 4)		
Blood biochemistry						
Albumin (g/L)	4.2±0.61	3.7±.54	3.9±0.59	4.03±0.21		
ALT(	26.7±24.02	45.7±26.4	46.3±38.2	65.5±43.4		
units						
/L)			0,			
AST(	24.7±17.5	53.5±32.9	29.3±13.7	51.2±16.1		
units						
/L)		X				
TBIL (mmol/L)	0.98±0.61	4.6±1.8	0.54±0.3	1.6±0.84		
DBIL	0.31±0.19	0.76±1.1	0.32±0.13	0.61±0.26		
HDL	48.7±13.9	46.7±16.1	40.6±17.3	66.5±19.1		
LDL	87.5±31.6	84.1±3.2	87.2±26.5	95±5.6		
Vitamin D						
Vitamin D doses	33.8±18.5	35.4±20.2	47.1±6.2	41.5±24.04		
Vitamin D usage (No, (%))	15 (17.2)	15 (17.6)	1 (16.7)	1 (25)		

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TBIL: Total bilirubin; DBIL: direct bilirubin; HDL: High-density lipoprotein; LDL: low-density lipoproteins

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295

#### 298 Table 3: Treatment among transplanted and patients on the waiting list for liver transplantation without and

#### 299 with COVID-19

Variable	Transplanted	Before	Transplanted with	Before undergoing
	(N:87)	undergoing a	COVID-19	a liver transplant
		liver transplant	(N:6)	with COVID-19
		(N: 85)	6	(N: 4)
Prednisolone	64 (73.6)	17 (20)	2 (33.3)	-
Cellcept	38 (43.7)	1 (1.2)	2 (33.3)	-
Folate	52 (59.8)	23 (27)	3 (50)	-
Tacrolimus	77 (88.5)	2 (2.3)	2 (33.3)	-
Aspirin	16 (18.4)	8 (9.4)	1 (16.7)	-
Calcium	68 (78.2)	19 (22.4)	4 (66.7)	1 (25)
Multivitamin	2 (2.3)	14 (16.5)	-	1 (25)
Proton-Pump	49 (56.3)	26 (30.6)	4 (66.7)	-
Inhibitor Drugs (Ppis)	J			
Azithromycin	1(1.2)	1(1.2)	1 (16.7)	-
Hydroxychloroquine	3 (3.4)	-	2 (33.3)	-
HMG-Coa Reductase	10 (11.5)	17 (20)	2 (33.3)	-
Inhibitors				
Insulin	7 (8)	10 (11.8)	1 (16.7)	1 (25)
Tavanex	3 (3.4)	-	2 (33.3)	-
Metformin	10 (11.5)	6 (7)	2 (33.3)	-
Ursodeoxycholic Acid	41 (47.1)	28 (32.9)	2 (33.3)	1 (25)
(UDCA)				
Mesalazine	11 (12.6)	6 (7)		1 (25)

Levothyroxine	3 (3.4)	6 (7)	1 (16.7)	
Spironolactone	-	4 (4.7)	-	1 (25)
Sirolimus	1 (1.2)	-	3 (50)	
Propranolol	15 (17.2)	1(1.2)	1 (16.7)	
Losartan	5 (5.7)	5 (5.9)	1 (16.7)	
Carvedilol	-	16 (18.8)		2 (50)

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# **Authors' contributions**

Conceived and designed the experiments: PR, MSD and MTA. Performed the experiments: MNT, KM and AS. Analyzed the data: MNT and KM. Contributed reagents/materials /analysis tools: RR and MH. Contributed to the writing of the manuscript: MTA PR and MSD. All authors read and approved the final manuscript.

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